

# Village of Dundee

## FREEDOM OF INFORMATION ACT PROCEDURES & GUIDELINES

### Statement of Principles

It is the policy of the Village of Dundee that all persons, except those who are serving a sentence of imprisonment, consistent with the Michigan Freedom of Information Act (FOIA), are entitled to full and complete information regarding the affairs of government and the official acts of those who represent them as public officials and employees. The people shall be informed so that they fully participate in the democratic process.

The Village of Dundee's policy with respect to FOIA requests is to comply with State law in all respects and to respond to FOIA requests in a consistent, fair, and even-handed manner regardless of who makes such a request.

The Village of Dundee acknowledges that it has a legal obligation to disclose all nonexempt public records in its possession pursuant to a FOIA request. The Village of Dundee acknowledges that sometimes it is necessary to invoke the exemptions identified under FOIA in order to ensure the effective operation of government and to protect the privacy of individuals.

The Village of Dundee will protect the public's interest in disclosure, while balancing the requirement to withhold or redact portions of certain records. The Village of Dundee's policy is to disclose public records consistent with and in compliance with State law.

### Section 1. General Policies

- a. **Designation of FOIA Coordinator.** The Village Council acting pursuant to the authority at MCL 15.236 designates the Village Manager as the FOIA Coordinator. He or she is authorized designate other Village staff to act on his or her behalf to accept and process written requests for the Village's public records and approve denials.
- b. **Date of Receipt of Request.** If a request for a public record is received by facsimile or e-mail, the request is deemed to have been received on the following business day. However, if a written request is sent by electronic mail and delivered to the public body's spam or junk-mail folder, the request is not received until 1 day after the public body first becomes aware of the written request. The public body shall note in its records both the time a written request is delivered to its spam or junk-mail folder and the time the public body first becomes aware of that request.
- c. **Administrative Rules.** The FOIA Coordinator may, in his or her discretion, implement administrative rules, consistent with State law and these Procedures and Guidelines to administer the acceptance and processing of FOIA requests.
- d. **Creation of Records Not Required.** The Village is not obligated to create a new public record or make a compilation or summary of information which does not already exist. Neither the FOIA Coordinator nor other Village staff are obligated to

provide answers to questions contained in requests for public records or regarding the content of the records themselves.

- e. **Record Keeping.** The FOIA Coordinator shall keep a copy of all written requests for public records received by the Village on file for a period of at least one year.

## **Section 2. Requesting a Public Record**

- a. **Written Requests Required.** A person requesting to inspect or obtain copies of public records prepared, owned, used, possessed or retained by Village of Dundee must do so in writing. The request must sufficiently describe a public record so as to enable Village personnel to identify and find the requested public record.
- b. **Form of Request.** No specific form to submit a request for a public record is required. However the FOIA Coordinator may make available a FOIA Request Form for use by the public.
- c. **Submission of Request.** Written requests for public records may be submitted in person or by mail, facsimile or electronic mail to any Village office. Upon receipt, requests for public records shall be promptly forwarded to the FOIA Coordinator for processing.
- d. **Non-physical Media.** A person may request that public records be provided on non-paper physical media, electronically mailed or other otherwise provided to him or her in lieu of paper copies. The Village will comply with the request only if it possesses the necessary technological capability to provide records in the requested non-paper physical media format.
- e. **Subscriptions.** A person may subscribe to future issues of public records that are created, issued or disseminated by the Village of Dundee on a regular basis. A subscription is valid for up to 6 months and may be renewed by the subscriber.
- f. **Referral to Website.** A person who makes a verbal, non-written request for information believed to be available on the Village's website, where practicable and to the best ability of the employee receiving the request, shall be informed of the pertinent website address.
- g. **Persons Not Entitled to Request Records.** A person serving a sentence of imprisonment in a local, state or federal correctional facility is not entitled to submit a request for a public record. The FOIA Coordinator will deny all such requests.

## **Section 3. Fees**

- a. **Fee and Basis for Charge.** The Village may charge a fee for a public record search, the necessary copying of a public record for inspection, or for providing a copy of a public record. The Village may charge for the following costs associated with processing a FOIA request:

- i. Labor costs directly associated with searching for, locating and examining a requested public record.
  - ii. Labor costs associated with a review of a record to separate and delete information exempt from disclosure of information which is disclosed.
  - iii. The actual cost of computer discs, computer tapes or other digital or similar media.
  - iv. The cost of duplication of publication, not including labor, of paper copies of public records.
  - v. The cost of labor associated with duplication or publication, including making paper copies, making digital copies or transferring digital public records to non-paper physical media or through the Internet or other electronic means.
  - vi. The actual cost of mailing or sending a public record.
- b. **Calculation of Labor Costs.** Labor costs will be calculated based on the following requirements:
- i. Labor costs will be charged at the hourly wage of the lowest-paid Village employee capable of doing the work in the specific fee category, regardless of who actually performs work.
  - ii. All labor costs will be estimated and charged in 15 minute increments with all partial time increments rounded down
  - iii. If the request requires the Village to separate and/or delete exempt information from nonexempt information and the Village does not employ a person capable performing this task, as determined by the public body's FOIA coordinator on a case-by-case basis, it may contract for such services and treat necessary contracted labor costs used for the separating and deleting of exempt information from nonexempt information in the same manner as employee labor costs when calculating charges if it clearly notes the name of the contracted person or firm on the detailed itemization. Total labor costs calculated for contracted labor costs shall not exceed an amount equal to 6 times the state minimum hourly wage rate determined under section 4 of the workforce opportunity wage act, 2014 PA 138, MCL 408.411 to 408.424.
  - iv. A public body shall not charge for labor directly associated with redaction of exempt if it knows or has reason to know that it previously redacted the public record in question and the redacted version is still in the public body's possession.
  - v. Labor costs will also include a charge to cover or partially cover the cost of

fringe benefits. The Village may add up to 50% to the applicable labor charge amount to cover or partially cover the cost of fringe benefits, but in no case may it exceed the actual cost of fringe benefits.

- vi. Overtime wages will not be included in labor costs until agreed to by the requestor; overtime costs will not be used to calculate the fringe benefit cost.
- c. **Cost of Non-physical Media.** The cost to provide records on non-paper physical media when so requested will be based on the following requirements:
- i. Computer disks, computer tapes or other digital or similar media will be at the actual and most reasonably economical cost for the non-paper media.
  - ii. This cost will only be assessed if the Village has the technological capability necessary to provide the public record in the requested non-paper physical media format.
  - iii. In order to ensure the integrity and security of the Village's technological infrastructure, the Village will procure any requested non-paper media and will not accept non-paper media from the requestor.
- d. **Cost of Paper Copies.** The cost to provide paper copies of records will be based on the following requirements:
- i. Paper copies of public records made on standard letter (8 1/2 x 11) or legal (8 1/2 x 14) sized paper will not exceed \$.10 per sheet of paper. Copies for nonstandard sized sheets of paper will reflect the actual cost of reproduction.
  - ii. The Village may provide records using double-sided printing, if cost-saving and available.
- e. **Mailing Costs.** The cost to mail records to a requestor will be based on the following requirements:
- i. The actual cost to mail public records using a reasonably economical and justified means.
  - ii. The Village may charge for the least expensive form of postal delivery confirmation.
  - iii. No cost will be made for expedited shipping or insurance unless requested.
- f. **Cost for Separation of Exempt from Non-exempt.** A fee will not be charged for the cost of search, examination, review and the deletion and separation of exempt from nonexempt information unless failure to charge a fee would result in unreasonably high costs to the Village because of the nature of the request in the

particular instance, and the Village specifically identifies the nature of the unreasonably high costs. The following factors shall be used to determine an unreasonably high cost to the Village:

- i. The particular request incurs costs greater than incurred from the typical or usual request received by the Village. See *Bloch v Davison Community Schools*, 2011 Mich App Lexis 771, 2011 WL 1564645
  - ii. Volume of the public record requested
  - iii. Amount of time spent to search for, examine, review and separate exempt from non-exempt information in the record requested.
  - iv. Whether public records from more than one Village department or various Village offices is necessary to respond to the request.
  - v. The available staffing to respond to the request.
  - vi. Any other similar factors identified by the FOIA Coordinator in responding to the particular request.
- g. **Cost Reduction for Failure to Respond Timely.** If the FOIA Coordinator does not respond to a written request in a timely manner, the following shall be required:
- i. Reduce the labor costs by 5% for each day the Village exceeds the time permitted under FOIA up to a 50% maximum reduction, if any of the following applies:
    - (1) The late response was willful and intentional.
    - (2) The written request, within the first 250 words of the body of a letter facsimile, e-mail or e-mail attachment conveyed a request for information.
    - (3) The written request included the words, characters, or abbreviations for "freedom of information", "information", "FOIA", "copy" or a recognizable misspelling of such, or legal code reference to MCL 15. 231 *et seq.* or 1976 Public Act 442 on the front of an envelope or in the subject line of an e-mail, letter or facsimile cover page.
  - ii. Fully note the charge reduction in the Detailed Itemization of Costs Form

#### **Section 4. Waiver of Fees**

- a. **Waiver for Benefit of the Public.** The cost of the search for and copying of a public record may be waived or reduced if in the sole judgment of the FOIA Coordinator a waiver or reduced fee is in the public interest because such can be considered as primarily benefitting the general public. May wish to establish and

set forth the conditions a requestor must meet to so as to "benefit the general public" in order to obtain a waiver of fees.

- b. **Other Waivers.** The FOIA Coordinator will waive the first \$20.00 of the processing fee for a request if the person requesting a public record submits an affidavit stating that they are:
  - i. Indigent and receiving specific public assistance; or if not receiving public assistance stating facts demonstrating an inability to pay because of indigency.<sup>1</sup> An individual is not eligible to receive the waiver if:
    - (1) The requestor has previously received discounted copies of public records from the Village twice during the calendar year; or
    - (2) The requestor requests information in connection with other persons who are offering or providing payment to make the request. The requestor shall affirmatively state in the affidavit seeking waiver that the request is not being made in conjunction with outside parties in exchange for payment or other remuneration.
  - ii. A nonprofit organization designated to by the State to carry out activities under subtitle C of the Developmental Disabilities Assistance and Bill of Rights Act of 200 and the Protection and Advocacy for Individuals with Mental Illness Act, or their successors, if the request meets all of the following requirements:
    - (1) Is made directly on behalf of the organization or its clients;
    - (2) Is made for a reason wholly consistent with the mission and provisions of those laws under Section 931 of the Mental Health Code, MCL 330.1931;
    - (3) Is accompanied by documentation of its designation by the State.

## **Section 5. Payment of Fees and Deposits**

- a. **Payment of Fees.** If the request is granted, or granted in part, the FOIA Coordinator will require that payment be made in full for the allowable fees associated with responding to the request before the public record is made available. The FOIA Coordinator shall provide a detailed itemization of the allowable costs incurred to process the request to the person making the request. A copy of these Procedures and Guidelines shall be provided to the requestor with the response to a written request for public records, provided however, that if these Procedures and Guidelines, and its Written Public Summary are maintained on the

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<sup>1</sup>The FOIA requires that an indigent requestor is entitled to at least two discounted fees in a calendar year; however a public body may permit more than two if it so chooses to do so.

Village's website, then a website link to those documents may be provided in lieu of providing paper copies.

- b. **Costs less than \$50.** If the cost of processing a FOIA request is \$50 or less, the requester will be notified of the amount due and where the documents can be obtained.
- c. **Deposit.** If based on a good faith calculation by the Village, the cost of processing a FOIA request is expected to exceed \$50, or if the requestor has not fully paid for a previously granted request, the Village will require a good-faith deposit before processing the request. In making the request for a good-faith deposit the FOIA Coordinator shall provide the requestor with a detailed itemization of the allowable costs estimated to be incurred by the Village to process the request and also provide a best efforts estimate of a time frame it will take the Village to provide the records to the requestor. The best efforts estimate shall be nonbinding on the Village, but will be made in good faith and will strive to be reasonably accurate, given the nature of the request in the particular instance, so as to provide the requested records in a manner based on the public policy expressed by Section 1 of the FOIA.
  - i. If the request for deposit is based on the fee estimate exceeding \$50.00, based on a good-faith calculation by the Village, the requestor will be asked to provide a deposit not exceeding one-half (1/2) of the total estimated fee.
  - ii. If the request for deposit is based on the requestor not having fully paid the Village for copies of public records made in fulfillment of a previously granted written request, the FOIA Coordinator will require a deposit of 100% of the estimated processing fee before beginning to search for a public record for any subsequent written request by that person when all of the following conditions exist:
    - (1) The final fee for the prior written request is not more than 105% of the estimated fee;
    - (2) The public records made available contained the information sought in the prior written request and remain in the Village's possession;
    - (3) The public records were made available to the individual, subject to payment, within the time frame estimated by the Village to provide the records;
    - (4) 90 days have passed since the FOIA Coordinator notified the individual in writing that the public records were available for pickup or mailing;
    - (5) The individual is unable to show proof of prior payment to the Village; and

- (6) The FOIA Coordinator has calculated a detailed itemization that is the basis for the current written request's increased estimated fee deposit.
- iii. The FOIA Coordinator will not require an increased estimated fee deposit if any of the following apply:
  - (1) The person making the request is able to show proof of prior payment in full to the Village;
  - (2) The Village is subsequently paid in full for the applicable prior written request; or
  - (3) 365 days have passed since the person made the request for which full payment was not remitted to the Village.

## **Section 6. Processing a Request**

- a. **Response to Request.** Unless otherwise agreed to in writing by the person making the request, within 5 business days of receipt of a FOIA request the Village will issue a response. The Village will respond to the request in one of the following ways:
  - i. Grant the request.
  - ii. Issue a written notice denying the request.
  - iii. Grant the request in part and issue a written notice denying in part the request.
  - iv. Issue a written notice indicating extending the period for a response for not more than 10 business days and specifying the reason for the extension and the date by which the Village will respond. Only one such extension is permitted.
  - v. Issue a written notice indicating that the public record requested is available at no charge on the Village's website.
- b. **Denials.** If the request is denied or denied in part, the FOIA Coordinator will issue a Notice of Denial which shall contain:
  - i. An explanation as to why a requested public record is exempt from disclosure; or
  - ii. A certificate that the requested record does not exist under the name or description provided by the requestor, or another name reasonably known by the Village; or

- iii. An description of the public record or information within a public record that is separated or deleted from the public record and an explanation as to why the separated or deleted portion of the public record is exempt from disclosure.
- iv. A full explanation of the requesting person's right to do either of the following:
  - (1) Submit to the Village Council a written appeal that specifically states the word "appeal" and identifies the reason or reasons for reversal of the disclosure denial.
  - (2) Seek judicial review of the denial in the Monroe County Circuit Court.
- v. An explanation of the right to receive attorneys' fees, costs, and disbursements as well actual or compensatory damages, and punitive damages of \$1,000, should the requesting person prevail in Circuit Court.
- vi. The Notice of Denial shall be signed by the FOIA Coordinator.
- c. **Request of Clarification.** If a request does not sufficiently describe a public record, the FOIA Coordinator may, in lieu of issuing a Notice of Denial indicating that the request is deficient, seek clarification or amendment of the request by the person making the request. Any clarification or amendment will be considered a new request subject to the timelines described in this Section.
- d. **Facilities for Examination of Documents.** The Village shall provide reasonable facilities and opportunities for persons to examine and inspect public records during normal business hours. The FOIA Coordinator is authorized to promulgate rules regulating the manner in which records may be viewed so as to protect Village records from loss, alteration, mutilation or destruction and to prevent excessive interference with normal Village operations.
- e. **Certified Copies.** The FOIA Coordinator shall, upon written request, furnish a certified copy of a public record at no additional cost to the person requesting the public record.

**Section 7. Appeal of a Denial of a Public Record**

- a. **Right to Appeal to Village.** When a requestor believes that all or a portion of a public record has not been disclosed or has been improperly exempted from disclosure, he or she may appeal the denial to the Village Council. The appeal must be in writing, specifically state the word "appeal" and identify the reason or reasons the requestor is seeking a reversal of the denial and shall be filed with the Village Manager.
- b. **Receipt of Appeal.** The Village Council is not considered to have received a

written appeal of either a denial or a fee amount until its first regularly scheduled meeting following the submission of the appeal. It shall then have 10 business days to respond to the appeal in writing by:

- i. Reversing the disclosure denial;
  - ii. Upholding the disclosure denial; or
  - iii. Reversing the disclosure denial in part and upholding the disclosure denial in part.
- c. **Extension of Time for Response.** Under unusual circumstances, such as the need to examine or review a voluminous amount of separate and distinct public records or the need to collect the requested records from numerous facilities located apart from the office receiving or processing the request, the Village Council may issue not more than 1 notice of extension for not more than 10 business days to respond to the appeal
- d. **Filing Civil Action.** Whether or not a requestor appealed a denial to the Village Council, he or she may file a civil action in Monroe County Circuit Court within 180 days after the Village's final determination to deny the request.
- e. **Available Remedies.** If the court determines that the public record is not exempt from disclosure, the court will award the appellant reasonable attorneys' fees, cost and disbursements. If the court determines that the appellant prevails only in part, the court in its discretion may award all or an appropriate portion of reasonable attorneys' fees, costs and disbursements.
- f. **Punitive Damages.** If the court determines that the Village arbitrarily and capriciously violated the FOIA by refusing or delaying the disclosure of copies of a public record, it shall award the appellant punitive damages in the \$1,000. Court shall also order that the public body pay a civil fine of \$1000 to the general fund of the State treasury.

## **Section 8. Appeal of an Excessive FOIA Processing Fee**

- a. **Appeal of Fees.** If a requestor believes that the fee charged by the Village to process a FOIA request exceeds the amount permitted by state law, he or she must first submit a written appeal for a fee reduction to the Village Manager for review and action by the Village Council. The appeal must be in writing, specifically state the word "appeal" and identify how the required fee exceeds the amount permitted.
- b. **Receipt of Appeal.** The Village Council is not considered to have received a written appeal of either a denial or a fee amount until its first regularly scheduled meeting following the submission of the appeal. It shall then have 10 business days to respond in writing by:

- i. Waiving the fee;
  - ii. Reducing the fee and issuing a written determination indicating the specific basis that supports the remaining fee, accompanied by a certification by the Village Council that the statements in the determination are accurate and the reduced fee amount complies with these Procedures and Guidelines and Section 4 of the FOIA;
  - iii. Upholding the fee and issuing a written determination indicating the specific basis under Section 4 of the FOIA that supports the required fee, accompanied by a certification by the Village Council that the statements in the determination are accurate and the fee amount complies with these Procedures and Guidelines and Section 4 of the FOIA; or
  - iv. Issuing a notice detailing the reason or reasons for extending for not more than 10 business days the period during which the Village Council will respond to the written appeal.
- c. **Civil Action.** Within 45 days after receiving notice of the Village Council's determination of a fee appeal, a requestor may commence a civil action in Monroe County Circuit Court for a fee reduction. If a civil action is filed appealing the fee, the Village is not obligated to process the request for the public record until the Court resolves the fee dispute.
  - d. **Available Remedies.** If the court determines that the Village required a fee that exceeds the amount permitted, it shall reduce the fee to a permissible amount. If the appellant in the civil action prevails by receiving a reduction of 50% or more of the total fee, the court may award all or appropriate amount of reasonable attorneys' fees, costs and disbursements.
  - e. **Punitive Damages.** If the court determines that Village has acted arbitrarily and capriciously by charging an excessive fee, the court shall also award the appellant punitive damages in the amount of \$500.

#### **Section 9. Conflict with Prior FOIA Policies and Procedures; Effective Date**

- a. **Repeal of Prior Policies, Procedures and Guidelines.** All prior FOIA policies, procedures and guidelines adopted by the Village are hereby repealed.
- b. **Conflict with FOIA.** To the extent that these Procedures and Guidelines are found to be in conflict with any State statute, the applicable statute shall control. The FOIA Coordinator is authorized to modify this policy, and to adopt such administrative rules as he or she may deem necessary, to facilitate the legal review and processing of requests for public records made pursuant to Michigan's FOIA statute, provided that such modifications and rules are consistent with State law. The FOIA Coordinator shall inform the Village Council of any change these Policies and Guidelines.

- c. **Effective Date.** These FOIA Policies and Guidelines become effective July 1, 2015.

**Section 10. Penalty for Violation of the Act**

If the court determines in either an appeal of a denial of a public record, or the appeal of an excessive fee, that the public body willfully and intentionally failed to comply with the FOIA or otherwise acted in bad faith, then in addition to any another award or sanction, the court shall impose a civil fine of not less than \$2500 or more than \$7500 for each occurrence.

The court is required to consider the budget of the public body and whether the public body has been previously been assisted penalties for violations of the FOIA.

The civil fine is to be deposited to the general fund of the State treasury.

**Section 11. Appendix of Village of Dundee FOIA Forms**

- a. Request Form
- b. Denial Form
- c. Waiver of Fee Form
- d. Detailed Itemization of Fees Form